



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/380,327	09/03/1999	SARAH ANNE ROBERTSON	A20-005	2475

881 7590 08/27/2002

LARSON & TAYLOR, PLC  
1199 NORTH FAIRFAX STREET  
SUITE 900  
ALEXANDRIA, VA 22314

EXAMINER

HUYNH, PHUONG N

ART UNIT	PAPER NUMBER
----------	--------------

1644

DATE MAILED: 08/27/2002

10

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

09/380,327

Applicant(s)

ROBERTSON ET AL.

Examiner

" Neon" Phuong Huynh

Art Unit

1644

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE Three MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 14 June 2001.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 50-62, 64-71, 73, 75-77, 79, 81 and 83-93 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 50-62, 64-71, 73, 75-77, 79, 81 and 83-93 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

**Priority under 35 U.S.C. §§ 119 and 120**

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) \_\_\_\_\_.
- 4) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_.

Art Unit: 1644

### DETAILED ACTION

1. Claims 50-62, 64-71, 73, 75-77, 79, 81, and 83-93 are pending.
2. Upon reconsideration, Claims 83 drawn to the method of including the administration of plasmin to increase the level of active TGF $\beta$  in the method of eliciting an immune reaction in prospective mammalian mother to one or more antigens of a prospective father to alleviate symptoms of an infertility condition comprising exposing said prospective mother to said one or more antigens of prospective father and substantially purified TGF $\beta$  leading to tolerance to said one or more antigens and claim 92 drawn to the step of diagnosing or testing whether the male has adequate levels of TGF $\beta$  or the female of has the capacity to activate TGF $\beta$  or alternatively whether anti-sperm antibodies exist before exposing said prospective mother to said one or more antigens of prospective father and substantially purified TGF $\beta$  leading to tolerance to said one or more antigens are hereby rejoined with Group XII (now claims 60-62, 64-71, 73, 75-77, 79, 81 and 93-93). Therefore, the requirement of Group XII (now claims 60-62, 64-71, 73, 75-77, 79, 81 and 93-93) and Groups I-XI and XIII-XLII is still deemed proper and is therefore made FINAL.
3. In view of the amendment filed 6/18/01, the following rejections remain.
4. The drawings, filed on 9/3/99, stand not in compliance with 37CFR 1.84(a). Please see attached PTO 948 mailed 3/14/01. Appropriate correction is required. It is noted that formal drawings will be submitted at a later time prior to or at payment of the issue fee.
5. The disclosure is objected to because the abstract filed on 6/18/01 is not on a separate sheet as required by 37 CFR 1.72(b).
6. The following new grounds of rejection are necessitated by the amendment filed 6/18/01.
7. The following is a quotation of the first paragraph of 35 U.S.C. 112:  

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Art Unit: 1644

8. Claims 50-62, 64-71, 73, 75-77, 79, 81, and 83-93 stand rejected under 35 U.S.C. 112, first paragraph, because the specification, while being enabling for a method of eliciting an immune reaction in a prospective mammalian mother to sperm antigens of a prospective father to alleviate symptoms of an infertility condition, said method comprising administering said prospective mother to said sperm antigens of said prospective father and to substantially purified TGF $\beta$ , said method leading to tolerance to said sperm antigens and alleviation of said infertility condition, **does not** reasonably provide enablement for a method of eliciting *any* immune reaction in a prospective mammalian mother using (1) *any* one or more antigens, (2) *any* antigen on either the sperm or on the conceptus, (3) *any* MHC antigens, (4) *any* TGF $\beta$  is modified, (5) *any* TGF $\beta$  wherein the modification comprises substitution, deletion, or addition mutants, (6) *any* peptide fragments of TGF $\beta$ , and (7) *any* derivative or analog thereof leading to tolerance to any antigens and alleviation of said infertility condition.

Factors to be considered in determining whether undue experimentation is required to practice the claimed invention are summarized in *In re Wands* (858 F.2d 731, 737, 8 USPQ2d 1400, 1404 (Fed. Cir. 1988)). The factors most relevant to this rejection are the scope of the claim, the amount of direction or guidance provided, the lack of sufficient working examples, the unpredictability in the art and the amount of experimentation required to enable one of skill in the art to practice the claimed invention.

The specification discloses only a method of eliciting an immune response in a prospective mother to sperm antigens (antigens on the sperm) and MHC class I by co-administering sperm antigens in the form of the prospective father's ejaculate and TGF $\beta$  together before attempted conception (see page 7 line 35, Fig 9 of specification) or administering TGF $\beta$  after intercourse (See page 8, line 4-5) to induce tolerance and for alleviate the symptoms of infertility conditions.

The specification does not teach how to make and use *any* antigen for a method of induction of tolerance that leads to alleviation of infertility condition because applicants have not identified all potential antigens other than the MHC class I antigen on the sperm from the prospective father for induction of tolerance in the prospective mother. The state of the art does not recognize MHC class II antigen play a important role in impaired reproductive functions associated with infertility such as miscarriage, spontaneous abortion, or pre-eclampsia. Given the indefinite number of undisclosed antigens, it is unpredictable which undisclosed antigen of the

Art Unit: 1644

prospective father would be useful for induction of tolerance, in turn, for a method of alleviating the symptoms of infertility condition.

Further, there is no guidance and working example as to which amino acid within the full length sequence of TGF $\beta$  can be deleted and whether the "fragment" of any TGF $\beta$  after deletion would maintain the structure and would have similar function as TGF $\beta$  for a method of inducing tolerance in a prospective mother to one or more antigens of a prospective father to alleviate symptoms of infertility. There is no guidance in the specification as to what type and number of amino acids within the TGF $\beta$  can be added or substituted and whether after addition or substitution would retain both structure and biological activity. The state of the art is that the relationship between the sequence of a protein and its tertiary structure (i.e. its binding activity) are not well understood and are not predictable for analogs (See Ngo et al, of record, in *The Protein Folding Problem and Tertiary Structure Prediction*, 1994, Merz, et al., (ed.), Birkhauser, Boston, MA, pp. 433 and 492-495). Furthermore, the specification does not reasonably provide enablement for any "effective derivative or analogs thereof" other than TGF $\beta$ 1. Although the function of TGF $\beta$ s appear to be overlapping and converging on the TGF $\beta$  receptor and the signaling transduction factors SMAD2 or SMAD3, the state of the prior art is such that the functions of TGF $\beta$ 1, TGF $\beta$ 2, TGF $\beta$ 3 and analogs thereof including activin and inhibin are distinct and largely nonoverlapping based on targeted disruption of the three TGF $\beta$  genes (See Dunker et al, of record, *Eur J Biochem* 267(24): 6982-8). Further, the specification as filed discloses that "the specificity of TGF $\beta$  to be co-administered with the male antigens is at present not entirely clear, and because TGF $\beta$ 1 is thought to be responsible whereas TGF $\beta$ 2, TGF $\beta$ 3 are less important, it is more likely that TGF $\beta$ 1 is to be used" (See page 9, line 7-9). Given the indefinite number of undisclosed modified TGF $\beta$ , analog and fragment thereof, it is unpredictable which undisclosed modified TGF $\beta$  would have the same function and structure as the TGF $\beta$ 1.

For these reasons, the specification as filed fails to enable one skill in the art to practice the invention without undue amount of experimentation. As such, further research would be required to practice the claimed invention.

Applicants' arguments filed 6/14/01 have been fully considered but are not found persuasive.

Applicants' position is that (1) applicants have deleted reference in the claims to "derivatives and analogs of TGF", (2) applicants maintain the reference to isotype of TGF, which

Art Unit: 1644

because of their closely related structure to TGF $\beta$ 1, are fully expected to be useful and to work in the present invention.

However, claim 90 still recites TGF $\beta$  or derivative or analog thereof. Further, there is no guidance and working example as to which amino acid within the full length sequence of TGF $\beta$  can be added, deleted or modified and whether the modified TGF $\beta$  after addition, deletion or substitution would maintain both structure and would have similar biological function as TGF $\beta$ , in turn, would be useful for a method of inducing tolerance in a prospective mother to one or more antigens of a prospective father to alleviate symptoms of infertility. The specification as filed discloses only administering TGF $\beta$ 1 and with sperm antigens to a prospective mother. There are no additional modified TGF comprises substitution, deletion or addition mutants, analog or derivative thereof.

9. Claims 50-62, 64-71, 73, 75-77, 79, 81, and 83-93 are rejected under 35 U.S.C. 112, first paragraph, as containing subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor, at the time the application was filed, had possession of the claimed invention.

The specification discloses only (1) The specification discloses only a method of eliciting an immune response in a prospective mother to sperm antigens (antigens on the sperm) and MHC class I by co administering sperm antigens in the form of the prospective father's ejaculate and TGF $\beta$  together before attempted conception (see page 7 line 35, Fig 9 of specification) or administering TGF $\beta$  after intercourse (See page 8, line 4-5) to induce tolerance and for alleviate the symptoms of infertility conditions.

The specification does not reasonably provide a **written description** of a method of eliciting *any* immune reaction in a prospective mammalian mother using (1) *any* one or more antigens, (2) *any* antigen on either the sperm or on the conceptus, (3) *any* MHC antigens, (4) *any* TGF $\beta$  is modified, (5) *any* TGF $\beta$  wherein the modification comprises substitution, deletion, or addition mutants, (6) *any* peptide fragments of TGF $\beta$ , and (7) *any* derivative or analog thereof for induction of immune tolerance and alleviation of symptoms of infertility condition.

With the exception of the specific antigen and TGF $\beta$  mentioned above, there is insufficient written description about the structure associated with function of *any* antigens, *any* TGF $\beta$  is modified, *any* TGF $\beta$  wherein the modification comprises substitution, deletion, or addition mutants, *any* peptide fragments of TGF $\beta$ , and *any* derivative or analog thereof.

Art Unit: 1644

Given the lack of a written description of any additional species of antigen, modified TGF $\beta$ , analog and fragment thereof, one of skill in the art would reasonably conclude that the disclosure fails to provide a representative number of species to describe the genus. Thus, Applicant was not in possession of the claimed genus. See *University of California v. Eli Lilly and Co.* 43 USPQ2d 1398. Applicant is directed to the Revised Interim Guidelines for the Examination of Patent Applications Under the 35 U.S.C. 112, ¶ 1 "Written Description" Requirement, Federal Register, Vol. 66, No. 4, pages 1099-1111, Friday January 5, 2001.

10. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter, which the applicant regards as his invention.

11. Claims 56 and 65-67 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

The recitation of "said TGF $\beta$ " in claim 56 has no antecedent basis in base claim 51. The base claim 51 requires a mucosal surface of the prospective mother is exposed to one or more antigen.

The recitation of "one or more antigens are administered on sperm cells of the prospective father" in claims 65 and 66 is ambiguous and indefinite. The specification discloses that the antigens are from the sperm cells, which are already located on the surface of the sperm of the prospective father. Appropriate correction is required.

12. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 103(a) that form the basis for the rejections under this section made in this Office Action:

A person shall be entitled to a patent unless:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

13. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor

Art Unit: 1644

and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

14. Claims 50-62, 64-67, 70, 73, 77, 79, 81, 85, 86, 89, 90, 92 and 93 are rejected under 35 U.S.C. 103(a) as being unpatentable over US Pat No. 5,395,825 (of record, May 1995; PTO 1449) in view of Clark *et al* (Hum Reprod 9(12): 2270-7, Dec 1994, PTO 892), Chaouat *et al* (of record, J Immunology 134(3): 1594-8, March 1985; PTO 892).

The '825 patent teaches a method of treating infertility by administering TGF $\beta$ , such as TGF $\beta$ 1, TGF $\beta$ 2, TGF $\beta$ 3, and TGF $\beta$ 4 (See column 5, line 9-11, in particular) along with antigens such as ovum, sperm or conceptus into the reproductive track (genital mucosal surface) of the a female to increase the success rate of implantation (See column 5 line 9-12, claim 4 of '825 patent, in particular). The reference TGF $\beta$  may be administered either before, after or simultaneously with the male antigens such as the sperms of the prospective father which are known to express MHC class I molecule on the surface and antigens from the conceptus to the mucosal surface wherein the mucosal surface is the reproductive tract of a female (See claims 1-5; column 6 line 67 bridging column 7 line 23; column 4, line 12-21). The reference TGF $\beta$  or analog may be administered by injection, patch, and gels that are slow release (See column 5, line 1-2; column 6, line 45-55). The '825 patent further teaches a method of diagnosing or testing the presence of active and/or immunological TGF $\beta$  in female or diagnosing mammals with infertility due to inadequate TGF $\beta$  (See column 6, line 8-16, column 3, lines 59-65, in particular). The reference method also can be used in conjunction with assisted reproduction such as IVF (See column 3 lines 66 bridging column 4, lines 6, in particular). The '825 patent teaches stimulates the production of trophoblast fibronectin for increasing the success rate of implantation (See entire document, Claims of 825 patent, in particular).

The claimed invention as recited in claim 50 differs from the references only by the recitation that the method eliciting an immune reaction in a prospective mammalian mother comprising exposing said prospective mother to one or more antigens of said prospective father and substantially purified TGF $\beta$ , said mother leading to tolerance to one or more antigens and alleviation of symptoms of infertility condition.

The claimed invention as recited in claims 61-62 differs from the references only by the recitation that the antigen is an MHC class I antigen of the prospective father.



Art Unit: 1644

The claimed invention as recited in claim 66 differs from the references only by the recitation that the one or more antigens are presented in purified or semi-purified form.

The claimed invention as recited in claim 67 differs from the references only by the recitation that the method include inert or adjuvant carriers.

Clark *et al* teach bioactive TGF $\beta$  is known to suppress the generation of cytotoxic cells in vitro and has immunosuppressive activity in vivo during the first trimester pregnancy in humans (See abstract, in particular).

Chaouat *et al* teach immunizing female with the male leukocyte purified from spleen which carried the paternal MHC class I haplotype in a carrier such as PBS can lead to an increase protection during pregnancy (See abstract, Materials and Methods, in particular). Chaouat *et al* teach the protection is associated with active suppression against maternal cell-mediated immunity (See Abstract, in particular).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the method of administering TGF $\beta$ , such as TGF $\beta$ 1, TGF $\beta$ 2, TGF $\beta$ 3, and TGF $\beta$ 4 (See column 5, line 9-11, in particular) along with antigens such as ovum, sperm or conceptus as taught by the '825 patent with the method of immunizing female with paternal leukocyte antigen as taught by Chaouat *et al* and Feinberg *et al* for a method of eliciting an immune reaction in a prospective mammalian mother comprising exposing said prospective mother to one or more antigens of said prospective father and substantially purified TGF $\beta$ , said mother leading to tolerance to one or more antigens and alleviation of symptoms of infertility condition as taught by the '825 patent, Clark *et al*, Chaouat *et al* and Feinberg *et al*. From the combined teachings of the references, it is apparent that one of ordinary skill in the art would have had a reasonable of success in producing the claimed invention.

One having ordinary skill in the art at the time the invention was made would have been motivated to do this because the '825 patent teaches stimulates the production of trophoblast fibronectin for increasing the success rate of implantation (See entire document, Claims of 825 patent, in particular). Chaouat *et al* teach immunizing female with the male leukocyte which carried the paternal MHC class I haplotype can lead to protection of fetus from maternal cell-mediated immunity (See Abstract, in particular). Clark *et al* teach bioactive TGF $\beta$  is known to suppress the generation of cytotoxic cells in vitro and has immunosuppressive activity in vivo during the first trimester pregnancy in humans (See abstract, in particular). Claims 55-56 are included in this rejection because the recitation of administering TGF $\beta$  and one or more antigens

Art Unit: 1644

are systemically or each administered at a first site and a different site is an obvious variation of the teaching of the '825 patent since the '825 patent teaches TGF $\beta$  can be administered simultaneously, before or after the antigen and the sites of administration is within the purview of one ordinary skilled in that art at the time the invention was made. Claims 79 and 80 are included in this rejection because the recitation of active form is within the teachings of '825 patent because administering TGF $\beta$  and antigens lead to increase the success rate of implantation, which is the active form of TGF $\beta$  (See entire document, Claims of 825 patent, in particular). Claim 86 is included in this rejection because the recitation of multiple exposure to TGF $\beta$  and male antigen is within the purview of one of ordinary skilled in the art based on the teachings of the '825 patent.

15. Claims 66-67 and 71 are rejected under 35 U.S.C. 103(a) as being unpatentable over US Pat No. 5,395,825 (of record, May 1995; IDS) in view of Clark *et al* (Hum Reprod 9(12): 2270-7, Dec 1994, PTO 892), Chaouat *et al* (of record, J Immunology 134(3): 1594-8, March 1985; PTO 892) as applied to claims 50-62, 64-65, 70, 73, 77, 79, 81, 85, 86, 89, 90, 92 and 93 and further in view of Harlow *et al* (in A Laboratory Manual, Cold Spring Harbor Laboratory, page 61, 1988; PTO 892), World Health Organization (in World Health Organization Laboratory Manual for the Examination of Human Semen and Semen Cervical Mucus Interaction, Cambridge University Press, NY 1987, PTO 892) and Martin-Villa *et al* (Biol Reprod 55(3): 620-9, Sept 1996; PTO 892).

The teachings of the '825 patent, Clark *et al*, and Chaouat *et al* have been discussed *supra*.

The claimed invention as recited in claims 66-67 differs from the references only by the recitation that one or more antigens are presented in purified or semi-purified form.

The claimed invention as recited in claim 71 differs from the references only by the recitation that the exposure of the one or more antigens is to the prospective mother's genital tract in the form of the prospective father's ejaculate, and the level of exposure is determined by the cell count and antigenic density on the surface of such cells.

Harlow *et al* teach a simple method of purifying any protein antigen by polyacrylamide gels electrophoresis (See page 61, in particular). Harlow *et al* having pure antigen provides the best case for the production of antibodies.

Art Unit: 1644

The WHO Laboratory Manual for the Examination of Human Semen and Semen Cervical Mucus Interaction teaches a method of determining sperm count of a prospective father's ejaculate (See page 5, page 9, Counting the spermatozoa, in particular) and various methods of determining male infertility.

Martin-Villa *et al* teach a method of purifying sperm and determining antigen density such as HLA on cell surface using double labeling cytofluorometry and relevant antibody and HLA-bearing spermatozoa are more capacitated for fertilization than those do not bear HLA (See entire document, Abstract, in particular).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to purify antigen as taught by Harlow *et al* using the antigens from the sperm or conceptus as taught by the '285 patent or the semi-purify sperm antigens from the ejaculate by washing and counting as taught by the WHO Laboratory Manual for the Examination of Human Semen or the purified human spermatozoa from the prospective father's ejaculate and determining the antigen density by double labeling cytofluorometry and relevant antibody as taught by Martin-Villa *et al* to determine the levels of antigen prior to exposing the prospective mother's genital tract to one or more antigens to induce immune tolerance to the antigen(s) of the prospective father for a method of eliciting an immune reaction and alleviation of symptoms of infertility condition as taught by '825 patent, Clark *et al*, and Chaouat *et al*. From the combined teachings of the references, it is apparent that one of ordinary skill in the art would have had a reasonable of success in producing the claimed invention.

One having ordinary skill in the art at the time the invention was made would have been motivated to do this because Harlow *et al* teach purifying any protein antigen by polyacrylamide gels electrophoresis is a simple method (See page 61, in particular). The WHO Laboratory Manual for the Examination of Human Semen and Semen Cervical Mucus Interaction teaches a method of determining sperm count of a prospective father's ejaculate is useful for (See page 5, page 9, Counting the spermatozoa, in particular) determining male infertility. Martin-Villa *et al* teach a method of purifying sperm and determining antigen density such as HLA on cell surface using double labeling cytofluorometry using relevant antibody and HLA-bearing spermatozoa are more capacitated for fertilization than those do not bear HLA, as one of the indicator for male fertility (See entire document, Abstract, in particular).

Art Unit: 1644

16. Claims 75 and 76 are rejected under 35 U.S.C. 103(a) as being unpatentable over US Pat No. 5,395,825 (of record, May 1995; IDS) in view of Clark *et al* (Hum Reprod 9(12): 2270-7, Dec 1994, PTO 892), Chaouat *et al* (of record, J Immunology 134(3): 1594-8, March 1985; PTO 892) as applied to claims 50-62, 64-65, 70, 73, 77, 79, 81, 85, 86, 89, 90, 92 and 93 and further in view of Tuan *et al* (Connect Tissue Res 34(1): 1-9, 1996; PTO 892) or Lyons *et al* (J Cell Biol 110(4): 1361-7, April 1990; PTO 892).

The teachings of the '825 patent, Clark *et al*, and Chaouat *et al* have been discussed supra.

The claimed invention as recited in claim 75 differs from the references only by the recitation that the TGF $\beta$  is modified.

The claimed invention as recited in claim 76 differs from the references only by the recitation that the modified TGF $\beta$  wherein the modification comprises substitution, deletion, or addition mutants or peptide fragments of TGF $\beta$ .

Tuan *et al* teach modified TGF $\beta$  and analog of TGF $\beta$  such as TGF $\beta$ 1-1 and TGF-B1-F2 fusion proteins from *E coli*; the reference modified TGF $\beta$  and analog has comparable antiproliferative activity to purified platelet TGF-beta 1 (See abstract, in particular).

Lyons *et al* teach peptide fragment of TGF $\beta$  such as N terminal deletion using plasmin or acid activation and the resulting peptide fragment of TGF $\beta$  is active (See abstract, in particular).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to substitute the TGF beta as taught by the '825 patent for the modified TGF $\beta$  analog as taught by Tuan *et al* or the active fragment of TGF $\beta$  as taught by Lyons *et al* for a method of eliciting an immune reaction in a prospective mammalian mother by exposing said prospective mother to one or more antigens of said prospective father and substantially purified TGF $\beta$ , said mother leading to tolerance to one or more antigens and alleviation of symptoms of infertility condition as taught by '825 patent, Clark *et al*, and Chaouat *et al*. From the combined teachings of the references, it is apparent that one of ordinary skill in the art would have had a reasonable of success in producing the claimed invention.

One having ordinary skill in the art at the time the invention was made would have been motivated to do this because Tuan *et al* teach modified TGF $\beta$  and analog of TGF $\beta$  has comparable antiproliferative activity to purified platelet TGF-beta 1 (See abstract, in particular). Lyons *et al* teach peptide fragment of TGF $\beta$  such as active TGF $\beta$  by N terminal deletion can be activated by plasmin or acid activation (See abstract, in particular).

Art Unit: 1644

17. Claims 83-85 are rejected under 35 U.S.C. 103(a) as being unpatentable over US Pat No. 5,395,825 (of record, May 1995; IDS) in view of Clark *et al* (Hum Reprod 9(12): 2270-7, Dec 1994, PTO 892), Chaouat *et al* (of record, J Immunology 134(3): 1594-8, March 1985; PTO 892) as applied to claims 50-62, 64-67, 70, 73, 77, 79, 81, 89, 90, 92 and 93 and further in view of Grainger *et al* (Nat Med 1(9): 932-7, Sep1995; PTO 892).

The teachings of the '825 patent, Clark *et al*, and Chaouat *et al* have been discussed *supra*.

The claimed invention as recited in claim 83 differs from the references only by the recitation that the method of treating includes administration of plasmin as to increase the level of active TGF $\beta$ .

The claimed invention as recited in claim 84 differs from the references only by the recitation that the TGF $\beta$  is administered in an unpurified form using a biological source rich in TGF $\beta$ .

The claimed invention as recited in claim 85 differs from the references only by the recitation that the TGF $\beta$  is administered in the form of platelets.

Grainger *et al* teach transforming growth factor beta 1 (TGF-beta 1) is a platelet-derived cytokine and human whole platelets is a rich source of inactive TGF-beta 1, which can be activate by plasmin (See abstract, in particular).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to substitute the active TGF beta as taught by the '825 patent for the unpurified form using a biological source rich in TGF $\beta$  such as the platelets along with plasmin to activate the inactive form of TGF $\beta$  as taught by Grainger *et al* for a method of eliciting an immune reaction in a prospective mammalian mother comprising exposing said prospective mother to one or more antigens of said prospective father and substantially purified TGF $\beta$ , said mother leading to tolerance to one or more antigens and alleviation of symptoms of infertility condition as taught by '825 patent, Clark *et al*, and Chaouat *et al*. From the combined teachings of the references, it is apparent that one of ordinary skill in the art would have had a reasonable of success in producing the claimed invention.

One having ordinary skill in the art at the time the invention was made would have been motivated to do this because Grainger *et al* teach platelet is a rich of inactive TGF $\beta$  and which can be activate by plasmin (See abstract, in particular).

Art Unit: 1644

18. Claim 92 is rejected under 35 U.S.C. 103(a) as being unpatentable over US Pat No. 5,395,825 (of record, May 1995; IDS) in view of Clark *et al* (Hum Reprod 9(12): 2270-7, Dec 1994, PTO 892), Chaouat *et al* (of record, J Immunology 134(3): 1594-8, March 1985; PTO 892) as applied to claims 50-62, 64-67, 70, 73, 77, 79, 81, 89, 90, 92 and 93 and further in view of Heidenreich *et al* (Am J Reprod Immunol 31(2-3): 69-76, Mar-Apr 1994; PTO 892).

The teachings of the '825 patent, Clark *et al*, and Chaouat *et al* have been discussed *supra*.

The claimed invention as recited in claim 92 differs from the references only by the recitation that the method includes testing whether anti-sperm antibodies exist.

Heidenreich *et al* teach a method of detecting anti-sperm antibody in infertile male using a highly sensitive and reproducible ELISA assay (See abstract, in particular). The reference assay synchron ELISA (Synelisa) is highly sensitive and reproducible since the assay does not require fixation of the sperm surface antigens by formaldehyde or glutaraldehyde and the structure of sperm surface antigens is not altered by the fixation process.

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the step of diagnosing whether anti-sperm antibodies exist using the assay as taught by Heidenreich *et al* with the method of treating infertility by administering TGF $\beta$  and male antigens as taught by the '825 patent, Clark *et al*, and Chaouat *et al*. From the combined teachings of the references, it is apparent that one of ordinary skill in the art would have had a reasonable of success in producing the claimed invention.

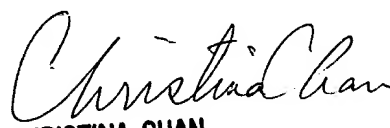
One having ordinary skill in the art at the time the invention was made would have been motivated to do this because Heidenreich *et al* teach anti-sperm antibody is associated with male infertility and the reference assay is useful for is highly sensitive and reproducible since the assay does not require fixation of the sperm surface antigens by formaldehyde or glutaraldehyde and the structure of sperm surface antigens is not altered by the fixation process.

19. Claims 68-69, 87-88 and 91 are free of art.
20. No claim is allowed.

Art Unit: 1644

21. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).  
A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the date of this final action.
22. Any inquiry concerning this communication or earlier communications from the examiner should be directed to "Neon" Phuong Huynh whose telephone number is (703) 308-4844. The examiner can normally be reached Monday through Friday from 9:00 am to 6:00 p.m. A message may be left on the examiner's voice mail service. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Christina Chan can be reached on (703) 308-3973. Any inquiry of a general nature or relating to the status of this application should be directed to the Technology Center 1600 receptionist whose telephone number is (703) 308-0196.
23. Papers related to this application may be submitted to Technology Center 1600 by facsimile transmission. Papers should be faxed to Technology Center 1600 via the PTO Fax Center located in Crystal Mall 1. The faxing of such papers must conform to the notice published in the Official Gazette, 1096 OG 30 (November 15, 1989). The CM1 Fax Center telephone number is (703) 305-7401.

Phuong N. Huynh, Ph.D.  
Patent Examiner  
Technology Center 1600  
August 26, 2002

  
**CHRISTINA CHAN**  
**SUPERVISORY PATENT EXAMINER**  
**TECHNOLOGY CENTER 1600**